

Daidzein Augments Cholesterol Homeostasis via ApoE to Promote Functional Recovery in Chronic Stroke

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Stroke is the world's leading cause of physiological disability, but there are currently no available agents that can be delivered early after stroke to enhance recovery. Daidzein, a soy isoflavone, is a clinically approved agent that has a neuroprotective effect *in vitro*, and it promotes axon growth in an animal model of optic nerve crush. The current study investigates the efficacy of daidzein on neuroprotection and functional recovery in a clinically relevant mouse model of stroke recovery. In light of the fact that cholesterol is an essential lipid substrate in injury-induced synaptic remodeling, we found that daidzein enhanced the cholesterol homeostasis genetic program, including *Lxr* and downstream transporters, *ApoE*, *Abca1*, and *Abcg1* genes *in vitro*. Daidzein also elevated the cholesterol homeostasis genes in the poststroke brain with *ApoE*, the highest expressing transporter, but did not affect infarct volume or hemispheric swelling. Despite the absence of neuroprotection, daidzein improved motor/gait function in chronic stroke and elevated synaptophysin expression. However, the daidzein-enhanced functional benefits and synaptophysin expression were abolished in *ApoE*-knock-out mice, suggesting the importance of daidzein-induced ApoE upregulation in fostering stroke recovery. Dissociation between daidzein-induced functional benefits and the absence of neuroprotection further suggest the presence of nonoverlapping mechanisms underlying recovery processes versus acute pathology. With its known safety in humans, early and chronic use of daidzein aimed at augmenting ApoE may serve as a novel, translatable strategy to promote functional recovery in stroke patients without adverse acute effect.

Key words: ApoE; cholesterol transporter; daidzein; motor/gait function; stroke recovery

Significance Statement

There have been recurring translational failures in treatment strategies for stroke. One underlying issue is the disparity in outcome analysis between animal and clinical studies. The former mainly depends on acute infarct size, whereas long-term functional recovery is an important outcome in patients. In an attempt to identify agents that promote functional recovery, we discovered that an FDA-approved soy isoflavone, daidzein, improved stroke-induced behavioral deficits via enhancing cholesterol homeostasis in chronic stroke, and this occurs without causing adverse effects in the acute phase. With its known safety in humans, the study suggests that the early and chronic use of daidzein serves as a potential strategy to promote functional recovery in stroke patients.

Introduction

Improvements in acute stroke patient care have resulted in reduced stroke mortality, leaving more survivors with severe

disability and a long road of recovery. Despite demands in developing potential strategies to aid functional recovery in stroke, there is a paucity of medical treatments available to treat post-stroke impairment and promote recovery. Studies showed that stroke initiates metabolic and genetic changes that persist for weeks to months following the initial insult (Carmichael, 2006;

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Nudo, 2007). However, understanding of the mechanism underlying the repair/restorative processes and identification of biological targets in chronic stroke is largely limited.

Consumption of soy is associated with numerous health benefits against obesity, cancer, osteoporosis, cardiovascular disease, and immune deficiency (Orgaard and Jensen, 2008; Wenzel et al., 2008). Daidzein, an isoflavone, is a major component of soy with structural similarity to estrogen. It exerts an anti-inflammatory effect, lowers lipid levels, and increases mitochondrial biogenesis (Ricketts et al., 2005; Rasbach and Schnellmann, 2008; Wang et al., 2008). As an activator of nuclear receptor peroxisome proliferator-activated receptors (PPARs), daidzein enhances transcription of PPARs-dependent genes, including liver X receptors (LXRs, *Nr1h* gene family in mice). By heterodimerizing with retinoid X receptors (RXRs), LXRs regulate the transcription of cholesterol transporter genes, *ApoE*, *Abca1*, and *Abcg1* (Whitney et al., 2002), either directly or through sterol-independent regulatory element and enhancer sites (Shih et al., 2000; Kennedy et al., 2001; Langmann et al., 2002).

The cholesterol transporters provide lipid substrates for maintenance of membrane and synaptic integrity in normal and injury-induced synaptic remodeling. Reduced availability of lipid substrates is associated with neurodegenerative conditions, whereas administration of endogenous steroids that enhance the processes improves outcomes (Chen et al., 2011). ApoE is the most abundant cholesterol transporter in the CNS and plays a vital role in cholesterol homeostasis, neuronal repair, synaptic plasticity, and β -amyloid peptide ($A\beta$) clearance (Mahley and Rall, 2000; Cramer et al., 2012). Although expressed less in the CNS, *Abca1* promotes cholesterol efflux by preferentially lipidating naive ApoE, whereas *Abcg1* acts on partially lipidated ApoE (Karten et al., 2006; W.S. Kim, et al., 2008). Notably, daidzein upregulates the expression of *Abcg1* (Mezei et al., 2003; Gao et al., 2008), and it promotes axonal outgrowth in cultured hippocampal neurons via estrogen receptor signaling (Wang et al., 2008). Previously, we reported that daidzein overcame the inhibition of axonal outgrowth induced by myelin-associated glycoproteins *in vitro* and promoted regeneration of axons in an optic nerve crush model *in vivo* (Ma et al., 2010). Furthermore, studies by others reported that daidzein exerted neuroprotection in oxygen-glucose deprived conditions (Hurtado et al., 2012) and enhanced recovery in rats following stroke (Hurtado et al., 2012; Stout et al., 2013). Because daidzein is a fairly safe agent that has been widely consumed as a soy component, we investigated whether daidzein is a potential neuroprotective and recovery agent in stroke and underlying events that are associated with these benefits. Here we report that daidzein, without affecting infarct size, promoted functional recovery via enhancing the cholesterol homeostasis program with ApoE being a critical component.

Materials and Methods

Study design. Experiments were performed with *in vitro* culture systems and *in vivo* using mice. For *in vitro* studies, three independent experiments were performed with duplicated samples at a given drug concentration. For *in vivo* studies, the use of animals and procedures was approved by the Institutional Animal Care and Use Committee of Weill Medical College of Cornell University and in accordance with the Institutional Animal Care and Use Committee, National Institutes of Health, and ARRIVE guidelines. The number of animals was calculated a priori by power calculation. Eleven animals per group were targeted to reach power 0.83 at a significance level of <0.05 assuming 25% difference in mean, a 20% SD at the 95% confidence level. Mice were randomized to receive sham or middle cerebral artery occlusion (MCAO) surgery. In certain cases, surgeons could not be blinded to the identity of groups at the time of surgery due to higher body weight or phenotype associated

with ApoE knock-out (KO) mice. Mice were randomly selected by drawing different colored balls to receive vehicle or daidzein. The identity of the drug was concealed (coded A or B) by a third party and administered to animals blinded to experimenters. Because of the chronic nature of the study, each animal received a tattoo and their identity and treatment were blinded to the persons who assessed injury size and performed the behavior tests.

Cell cultures. HT22 murine hippocampal cells were cultured in DMEM with 4500 mg/dl glucose (Sigma-Aldrich), L-glutamine, and pyridoxine hydrochloride. C8-D1A immortalized mouse astrocyte cells (CRL-2541, American Type Culture Collection) were cultured in the DMEM. These cultures were supplemented with 10% FBS (Mediatech), 100 IU penicillin and 100 μ g/ml streptomycin (Invitrogen) at 37°C in a humidified 5% CO₂ incubator.

Primary neuron-enriched cultures were generated from the papain digestion of cortical tissue harvested from E14.5 embryos of C57 mice. The resulting cells were plated at a density of 1.04×10^5 cells/cm² in 6-well plates in Neurobasal media supplemented with 2% B27, 0.5 mM glutamine, and penicillin/streptomycin. After 1 d in culture, the cells were maintained in media containing 10 μ M 5'-fluoro-2'-deoxyuridine to kill off non-neuronal cells. The cells were treated after 7 DIV with media lacking mitotic inhibitors and consisted of $>99\%$ neurons as assessed by MAP2 and GFAP immunocytochemistry (data not shown).

For astrocyte cultures, cortical tissue was harvested from postnatal day 1 mice, digested with papain, and plated at 1.5×10^3 cells/cm² in 6-well plates in MEM (Mediatech) supplemented with 10% horse serum and penicillin/streptomycin. After the astrocytes reached confluency (~ 2 weeks), the cultures were treated with 8 μ M cytosine-D-arabino-furanoside for 3 d to kill off contaminating nonastrocyte cells (Haskew-Layton et al., 2010).

Daidzein and T0901317 treatment in vitro culture. Neurons and astrocytes at 7 and 14 DIV, respectively, were treated with daidzein (Sigma-Aldrich) and T0901317 (Tocris Bioscience). The indicated concentrations of daidzein and T0901317 were added in the culture media with the absence of mitotic inhibitors. Twenty-four hours later, mRNA was harvested using TRI Reagent (Molecular Research Center) and purified using the Direct-zol RNA MiniPrep kit (Zymo Research) with in-column DNaseI digestion.

ApoE promoter activity assay. U373 human glioblastoma cells were maintained in MEM, supplemented with 10% FBS (Atlanta Biologicals) and an antibiotic-antimycotic mixture (Mediatech). The day before transfection, cells were transferred to 96-well plates at a density of 50,000 cells per well. Cells were transfected with either the pGL3-Basic vector (Promega) or the pGL3 vector containing 1.1 kb of the 5' upstream regulatory promoter region of the human *ApoE* gene controlling expression of the firefly luciferase reporter gene (*hAPOE1.1*). Cells were simultaneously transfected with 285 ng of the pGL3-based plasmid (vector or *hAPOE1.1*) and 15 ng of the pRLSV40 plasmid (Promega) expressing *renilla* luciferase under the constitutive SV40 promoter as an internal control. Plasmid DNA was transfected into the cells using 0.75 μ l per well of the Transfectin transfection reagent (Bio-Rad) in serum- and antibiotic-free medium. Two hours after transfection, cells were treated with vehicle or the indicated concentrations of daidzein in MEM containing 10% FBS and antibiotics for 48 h with $n = 6$ per treatment. Cells were then lysed, and both firefly and *renilla* luciferase activities were measured using the Dual Luciferase kit (Promega), per the manufacturer's instructions. Results are expressed as the ratio of firefly/*renilla* luciferase activity. Toxicity was evaluated by a lactate dehydrogenase enzyme activity assay kit, per the manufacturer's instructions (Sigma-Aldrich).

Animals. Experiments were performed in 10- to 11-week-old male C57 (C57 bl/6) and ApoE KO (C57 background) mice purchased from The Jackson Laboratory. The mice were housed at the institute's animal facility, which maintained temperature, humidity, and 12 h light/dark cycle. A maximum of 5 mice was housed in a cage with an individual ventilating system and irradiated bedding (1/8" Bed O's Cobs, Anderson). Sterilized food (PicoLab Rodent diet 5053, LabDiet) and water were freely accessible in their cage.

Acute and stroke recovery models and daidzein treatment. Transient MCAO was performed in mice according to previously described methods (E. Kim et al., 2012; Qin et al., 2014). Mice were anesthetized with isoflurane (5% induction and 1.5%–2.0% maintenance) with a mixture

of oxygen and nitrogen (30%/70%). A 6-0 Teflon-coated black monofilament surgical suture (Doccol) was inserted into the exposed external carotid artery, advanced into the internal carotid artery, and wedged into the circle of Willis to obstruct the origin of the MCA and transiently occlude for 30 min. Cerebral blood flow was continuously monitored 15 min before stroke, during 30 min MCAO and 15 min of reperfusion by Laser-Doppler flowmetry (Periflux System 5010; Perimed). Mice were then placed in a recovery cage until the animal regained consciousness and resumed activity. Using a rectal probe controlled by Masterflex water pump on an operating table and thermistor temperature controller (Cole-Parmer), animal's body temperatures were maintained at $37 \pm 0.5^\circ\text{C}$ during entire surgical procedures and recovery after the surgery. The mice were then returned to their home cages where they were previously housed together. Buprenorphine, lidocaine, and bupivacaine were administered during postischemia as analgesics. Inclusion and exclusion criteria for mice were based on the severity of ischemia. Animals exhibiting reduced cerebral blood flow $>80\%$ during MCAO and restored cerebral blood flow $>80\%$ by 10 min following reperfusion were included in the study.

Our proximal MCAO by an intraluminal thread method produced an infarct $\sim 40 \text{ mm}^3$ ($\sim 30\%$ of a hemisphere) and mostly confined to the striatum with incidental damage in somatosensory cortex. The size of the basal ganglia in the rodent brain as a proportion of total brain volume is approximately twofold higher than that in the human brain (Swanson, 1995). Therefore, subcortical infarcts produced by these methods would be approximately twofold larger than those in humans when anatomical proportions between the species are considered. As subcortical stroke in human ranges from 4.5% to 14% of a hemisphere (Carmichael, 2005), the current mouse stroke model generates proportionally similar magnitude of subcortical injury as human subcortical stroke.

For long-term stroke recovery, mice received moxifloxacin (100 mg/kg) for 3 d. The prophylactic antibiotic treatment was shown to effectively reduce mortality in an animal model of stroke by attenuating peripheral infection (Meisel et al., 2004). In addition, saline was subcutaneously administered daily, and hydrogel (Clear H_2O) was given to prevent dehydration. With the implementation of poststroke care (antibiotic regimen, rehydration, and feeding hydrogels with soft diet) during the acute period (<1 week), mice start to regain their body weight by day 5 and continue to recover from stroke. Animals were randomly selected for vehicle or daidzein treatment. Vehicle or daidzein (10 mg/kg, Sigma-Aldrich) was administered subcutaneously within 30 min of reperfusion after confirming the reperfusion of blood flow, daily for 7 d and then every other day up to 1 month.

Tissue preparation. Brains were excised, frozen, and sectioned using an unbiased stereological sampling strategy to reflect the MCA territory in both hemispheres as previously described (E. Kim et al., 2014). Tissue sections with $30 \mu\text{m}$ thicknesses were collected serially at $600 \mu\text{m}$ intervals for analysis of infarct volume and immunohistochemistry. Sections in between were cut in half and collected for each hemisphere to determine mRNA and protein levels.

Measurement of infarct volume and hemispheric swelling. Serial sections representing the entire MCA territory were subjected to a phase-contrast microscope to visualize infarcted area. Infarct volume was determined using Axiovision software (Carl Zeiss). For edema assessment during acute phase, percentage hemispheric swelling (%HS) is calculated from the difference in volume between two hemispheres and then divided by contralateral hemisphere volume according to a formula: $\%HS = [(\text{ipsilateral volume} - \text{contralateral volume}) / \text{contralateral volume}] \times 100$ (Lin et al., 1993). At 4 weeks after ischemia, noninjured, scar, and contralateral tissue volumes were measured, and infarct volume was estimated by subtracting the remaining ipsilateral volume from contralateral volume.

Real time RT-PCR. Relative mRNA levels were quantified with real-time RT-PCR using fluorescent TaqMan technology as described previously (E. Kim et al., 2012, 2014). Total RNA from brain tissues or cell line cultures was reverse transcribed using QuantiTect reverse transcription kit (QIAGEN), according to the manufacturer's protocol. PCR primers and probes specific for the genes in this study were obtained as TaqMan predeveloped assay reagents for gene expres-

sion (Invitrogen). Primers used were *Ppar γ* (Mm00440945_m1); *Lxr* (*Nr1h*) (Mm00443451_m1); *Scarb1* (Mm00450236_m1); *Ldlr* (Mm00440169_m1); *Abcg1* (Mm00437390_m1); *Abca1* (Mm01350760_m1); *ApoE* (Mm00437573_m1); *Lrp1* (Mm00464608_m1); *Synaptophysin* (Mm00436850_m1); *Gap43* (Mm00500404_m1); *Psd95* (Mm00492193_m1); *Gfap* (Mm00546086_m1); *Arginase-I* (Mm00475988_m1); *Fas* (Mm00662319_m1); *Lpl* (Mm00434764_m1); *Srebp1* (Mm00550338_m1); *β -actin* (Mm00607939_s1). *β -Actin* was used as an internal control for normalization of samples. The PCR was performed using FastStart Universal Probe Master Mix (Roche) in an Applied Biosystems 7500 Fast Real-Time PCR system (Invitrogen), according to the manufacturer's instructions. For primary astrocyte and neuron cultures, 40 ng RNA was assayed directly using the ABI One-step RNA-to-Ct master mix. The results were analyzed by 7500 Fast Real-Time PCR System software (Invitrogen).

Western blot analyses. Consistent amounts of total protein (2 μg for ApoE and synaptophysin assays; 10 μg for PSD-95 assay) were loaded on a Nu-PAGE 4%–12% Bis-Tris Gel (Invitrogen) and transferred onto PVDF membrane (Bio-Rad). The membrane was incubated in blocking buffer (Li-Cor) for 1 h followed by ApoE (sc-8384, Santa Cruz Biotechnology), synaptophysin (ab8049, Abcam), PSD-95 (51-6900, Invitrogen), or GAPDH (sc-25778, Santa Cruz Biotechnology) antibody in blocking buffer (1:1000) at 4°C . The membrane was washed with Tris-buffered saline containing 0.05% Tween 20 followed by incubating with appropriate secondary antibodies conjugated with AlexaFluor-680 (A 21088, Invitrogen), IRDye 800CW (926-32212, Li-Cor), or IRDye 680RD (926-68071, Li-Cor) in blocking buffer for 1 h. Then each protein's specific band was visualized using the Odyssey Imaging System (Li-Cor). Specificity of bands was confirmed in tissues from *ApoE* KO mice (for ApoE band) and/or by omitting primary antibody incubation. Western blots were performed in multiple gels. To normalize inter-blot variability, identical samples were loaded in each blot as internal controls, and the density of the internal standard sample (normalized by GAPDH or β -actin) was used to standardize other samples in multiple blots.

Immunohistochemistry. Mice ($n = 2$ or 3/group) were perfusion-fixed, and immunohistochemistry was performed for visualization of protein localization, according to the method previously described (Qin et al., 2014). Brains were sectioned coronally in a cryostat (thickness, $40 \mu\text{m}$) and incubated overnight with the following primary antibodies: GFAP (astrocytes marker, 1:3000; EMD Millipore), ApoE (1:1000; Abcam), MAP-2 (neuronal marker, 1:3000, Abcam), followed by incubation with appropriate secondary antibodies conjugated with AlexaFluor-488 or -594 (1:200; Invitrogen) for 1 h at room temperature. Specificity of the staining was confirmed in the brain section from *ApoE* KO mice (for ApoE) and omitting primary antibody incubation. Sections were washed with PBS between incubations and examined under a fluorescent microscope or laser scanning confocal microscopy (Carl Zeiss).

Behavior tests. Motor and gait functions were longitudinally assessed by a rotarod (Med Associates) and Noldus Catwalk XT gait analysis system (Noldus Information Technology), as previously reported (Qin et al., 2014). For rotarod test, mice were placed on a rod of rotarod device, which was set to accelerate from 4 to 40 rpm over the course of 5 min. After 1 week of daily pretraining, the latency to fall from the rod was averaged from five trials. For Catwalk, mice were pretrained daily for 2 weeks to cross an illuminated glass walkway 3 consecutive times and then at intervals during the poststroke period. The images from each trial that consisted of 3 consecutive runs were converted into digital signals. Each footprint was classified as a left front (LF), left hind (LH), right front (RF), and right hindpaw (RH). After the classification, a priori selected gait parameters that are relevant to the kinematics of stroke recovery were analyzed: spatial parameters based on individual paws (mean intensity), relative position between paws (stride length), temporal parameters (swing speed, walk speed), and parameter related to interlimb coordination (regularity index).

Data analyses. Infarct volume and %HS were reported as mean \pm 95% CIs. All other data were reported as mean \pm SEM. Gene expression levels from *in vivo* studies were presented as the β -actin normalized value according to the formula, $\text{Value} = 2^{(\beta\text{-actin threshold cycle} - \text{target gene's threshold cycle})}$. Gene expression levels in *in vitro* studies were

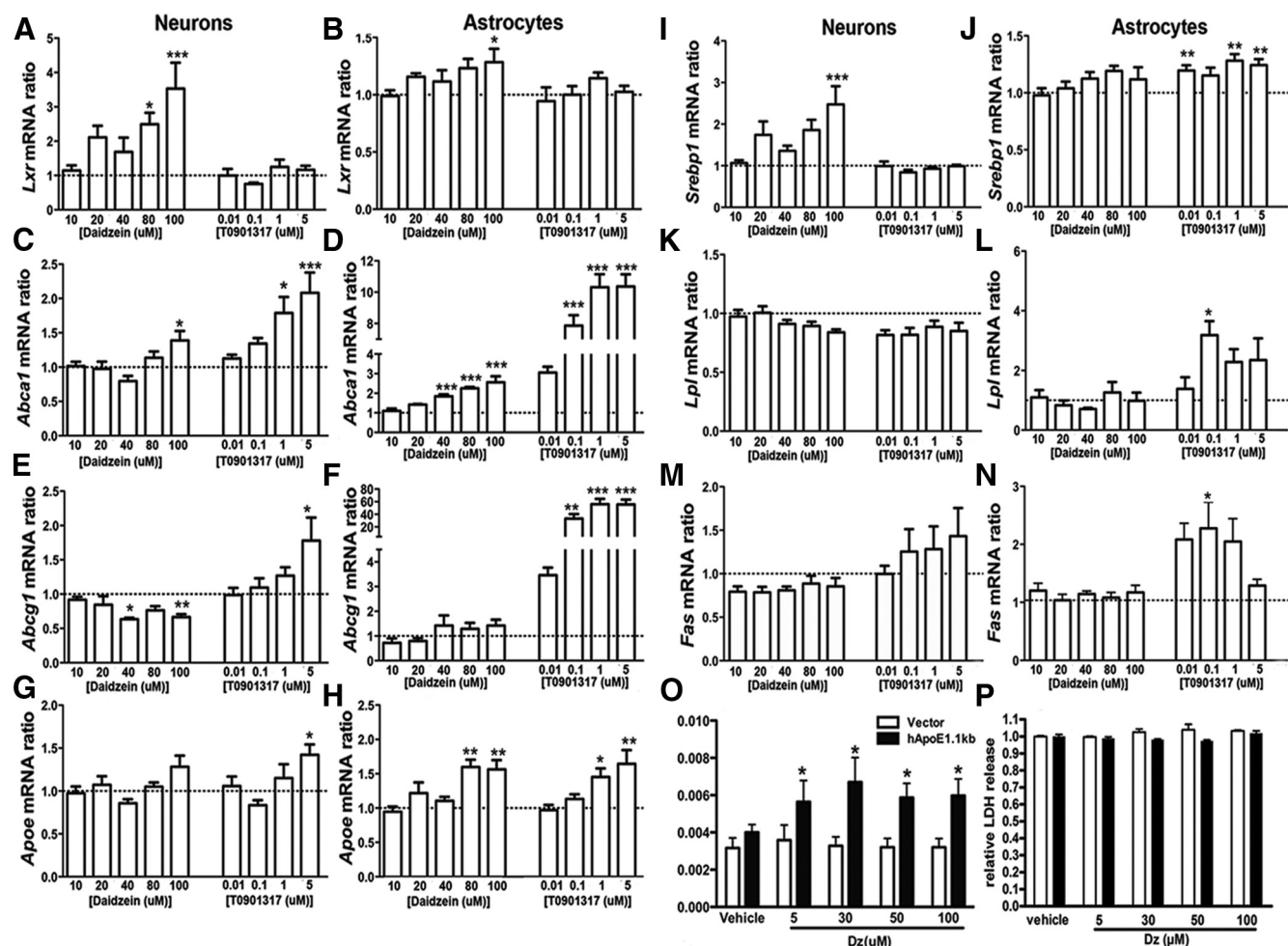


Figure 1. Daidzein increases cholesterol homeostasis program genes *in vitro*. **A–N**, Gene expression in primary cortical neurons (**A, C, E, G, I, K, M**) and astrocytes (**B, D, F, H, J, L, N**) following the incubation of daidzein (10, 20, 40, 80, 100 μ M) or a LXR agonist, T0901317 (0.01, 0.1, 1, 5 μ M) for 24 h. Lines indicate the expression level of each gene in cultures treated with vehicle for 24 h. * p < 0.05 versus vehicle (one-way ANOVA, Newman–Keuls *post hoc* test). ** p < 0.01 versus vehicle (one-way ANOVA, Newman–Keuls *post hoc* test). *** p < 0.001 versus vehicle (one-way ANOVA, Newman–Keuls *post hoc* test). **O, P**, Effect of daidzein (Dz) on hAPOE promoter activity indicated by the ratio of firefly/*renilla* luciferase activities (**O**) and LDH release (**P**) in U373 human glioblastoma cells. n = 6. Student's *t* test. * p < 0.05 versus Vector.

reported relative to control cultures and averaged from three independent experiments.

Statistics. Comparison between two groups was statistically evaluated using Student's *t* test. Multiple comparisons were made using ANOVA followed by *post hoc* tests. For gene/protein expression, analyses in cultures and the brain were performed by one-way and two-way ANOVA, respectively, followed by *post hoc* Newman–Keuls tests. For gait analyses, two-way ANOVA with Bonferroni correction was used. Differences were considered significant at p < 0.05.

Results

Daidzein increases *Lxr*, *ApoE*, *Abca1*, and *Abcg1* gene expression *in vitro*

The effect of daidzein on the transcription of genes involved in cholesterol homeostasis, including *Lxr* and downstream transporters *ApoE*, *Abca1*, and *Abcg1*, was assessed in primary cortical neurons and astrocytes. Daidzein increased expression of *Lxr*, a PPAR γ -dependent gene, more robustly in neurons than astrocytes (Fig. 1*A, B*). In astrocytes, daidzein treatment significantly elevated *Abca1* and *ApoE* (Fig. 1*D, H*), but not *Abcg1* mRNA (Fig. 1*F*). Treating the cultures with T0901317, an LXR agonist, showed expected increases in expression of *Lxr* downstream transporter genes (Fig. 1*C–H*) but not its own expression (Fig. 1*A, B*). T0901317 also increased sterol-regulatory element bind-

ing proteins-1 (*Srebp1*) and its target genes, fatty acid synthase (*Fas*) and lipoprotein lipase (*Lpl*) in astrocytes (Fig. 1*J, L, N*). Daidzein increased *Srebp1* in neurons (Fig. 1*I*), without affecting *Fas* and *Lpl* expression both in neurons and astrocytes (Fig. 1*K–N*). We further determined transcriptional activity of APOE in human glioblastoma U373 cells containing a 1.1 kb of human APOE (*hAPOE*) promoter-luciferase plasmid (Maloney et al., 2007). Incubation with different concentrations of daidzein, from 5 to 100 μ M, increased APOE transcriptional activity (Fig. 1*O*). Lactate dehydrogenase levels in the media in cultures treated with various concentrations of daidzein were not different from vehicle-treated cultures, excluding potential toxicity of daidzein at the concentrations used (Fig. 1*P*). The findings show that daidzein induces the cholesterol homeostasis genetic program without toxicity and inducing transcription of the lipogenic genes.

Stroke induces *Lxr*-downstream transporter gene expression

To address the relevance of the cholesterol homeostasis genetic program in chronic stroke, we first determined temporal changes in *Lxr* and transporter *Abca1*, *Abcg1*, and *ApoE* genes in the acute and recovery phase of poststroke brain (Fig. 2*A–D*). While relatively constant in the contralateral hemisphere, these genes were induced in the stroke hemisphere

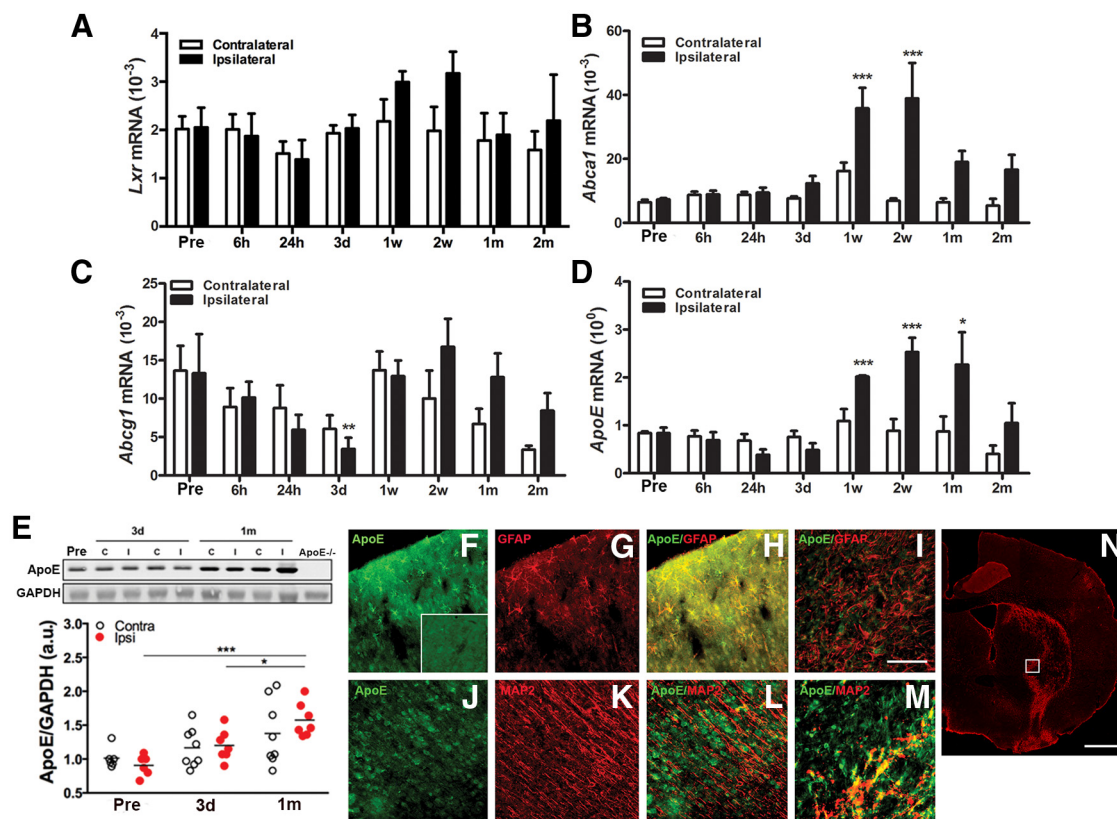


Figure 2. Stroke induces cholesterol homeostasis program in the brain. **A–D**, Temporal gene profiles of *Lxr* (**A**), *Abca1* (**B**), *Abcg1* (**C**), and *ApoE* (**D**) in the poststroke brain at various postischemic time points. mRNA levels in the contralateral and ipsilateral hemispheres were measured without stroke (Pre), and during acute (hours (h) to days (d)), subacute (d to weeks (w)), and long-term recovery (week to months (m)) phases. y-axis represents mRNA normalized by actin. * $p < 0.05$ versus contralateral (Student's *t* test). ** $p < 0.01$ versus contralateral (Student's *t* test). *** $p < 0.001$ versus contralateral (Student's *t* test). **E**, ApoE protein levels in the brain of Pre, 3 d, and 1 month after stroke (cropped images of original blots). ApoE^{−/−}, brain tissue from ApoE KO mice, * $p < 0.05$ (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). *** $p < 0.001$ (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). **F–M**, Immunolocalization of ApoE in 1 month poststroke brain. Double immunofluorescence labeling of ApoE (**F**) with GFAP (**G–I**) or ApoE (**J**) with MAP2 (**K–M**). Merged micrographs in the contralateral cortex (**H**, **L**), peri-infarct area in the ipsilateral hemisphere (**I**, **M**). **F**, Inset, No specific ApoE immunoreactivity in the brains from ApoE KO mice. **N**, GFAP-stained ipsilateral hemisphere. Square represents the peri-infarct area chosen for **I**, **M**. $n = 2$. Scale bars: **I**, 100 μ m; **N**, 1 mm.

during 1–4 weeks after stroke, followed by a return to baseline at ~2 months after stroke. Of these genes, *ApoE* was the most abundantly expressed cholesterol transporter in the brain. The basal level of *ApoE* transcripts (*ApoE/Actin*; 0.84 ± 0.06 , $n = 4–6$) was ~50–100 times higher than those of *Abca1* (0.0064 ± 0.0002) and *Abcg1* (0.013 ± 0.007). ApoE protein levels were noticeably elevated at 1 month after stroke but not at day 3, reflecting corresponding protein expression at this time (Fig. 2E). ApoE protein was predominantly localized in astrocytes in the contralateral (Fig. 2H) and rarely in the peri-infarct region of stroke hemisphere (Fig. 2I, N). On the other hand, ApoE staining was mostly absent in neurons in the contralateral hemisphere (Fig. 2L) with some occurrence in the peri-infarct area (Fig. 2M, N), supporting a reported view on cholesterol transport from astrocytes to neurons during neural repair following CNS injuries (Pfrieger, 2003).

Daidzein increases the stroke-induced cholesterol homeostasis program without reducing infarct size

We initially assessed the effect of daidzein on multiple genes that are involved in cholesterol homeostasis and synaptic remodeling at day 3 after stroke. Ratios of stroke-induced mRNA levels over those in the contralateral hemisphere (ipsilateral/contralateral) in vehicle-treated group showed increased *Lxr*, *Scarb1*, *Abca1*, and *Gfap* mRNA and the gene induction patterns were similar in

daidzein-treated group (Fig. 3A; Table 1). For cholesterol homeostasis genes, we found that stroke significantly increased *Lxr* and *Abca1* mRNA (Fig. 3B, C) and decreased in *Abcg1* and *ApoE* genes (Fig. 3D, E). Among these genes, daidzein significantly increased *Lxr* and *ApoE* in the ipsilateral hemisphere (Fig. 3B, E). Both treatment groups displayed a similar degree of stroke severity indicated by comparable cerebral blood flow reduction during ischemia (14.6 ± 1.2 vs 14.1 ± 0.9 , $n = 25$, not significant) and reperfusion at 10 min after stroke ($97.2 \pm 6.4\%$ vs $118.2 \pm 7.3\%$, not significant). Daidzein treatment neither increased nor decreased infarct size or edema (Fig. 3F), showing that daidzein has no acute neuroprotective effect.

A possibility of daidzein-induced long-term neuroprotection was assessed in mice treated with daidzein for 1 month. Ratios of stroke-induced mRNA levels against the contralateral hemisphere showed similar increases in *Lxr*, *Scarb1*, *Abca1*, *ApoE*, *Tsp2*, and *Gfap* mRNA in both vehicle and daidzein-treated groups (Fig. 4A; Table 2). Compared with vehicle treatment, daidzein significantly elevated *Abca1*, *Abcg1*, and *ApoE* mRNAs at 1 month after stroke (Fig. 4B–E). For lipogenic genes, daidzein increased stroke-induced *Srebp1* mRNA without affecting *Fas* and *Lpl* expression (Table 2). ApoE protein was also significantly increased at this time (Fig. 4F). There was no difference in expression of the cholesterol homeostasis genes in age-matched sham mice treated with vehicle or daidzein for 1 month (data not shown). Because

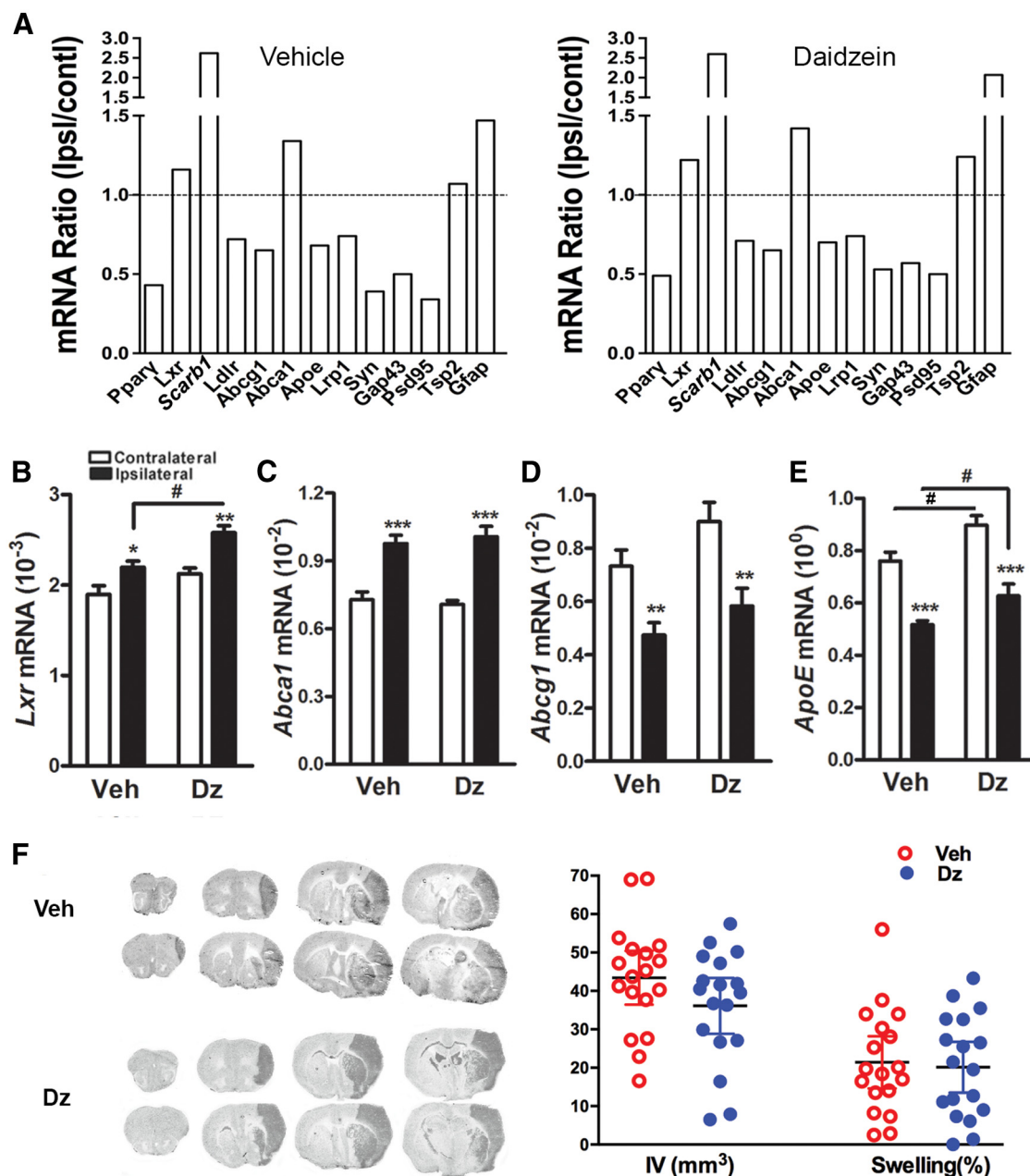


Figure 3. Daidzein increases stroke-induced *Lxr* and *ApoE* mRNA without affecting acute brain injury. Following 30 min MCAO, mice were treated with daily subcutaneous administration of vehicle (Veh) or daidzein (Dz, 10 mg/kg) for 3 d. **A**, Effect of daidzein on stroke-induced cholesterol homeostasis and synaptic plasticity genes in the vehicle (left) and daidzein (right) treated mice at 3 d. Dotted lines indicate mRNA expression in contralateral hemisphere. y-axis represents ratios of ipsilateral over contralateral mRNA expression; $n = 5$ or 6. **B–E**, *Lxr* (**B**), *Abca1* (**C**), *Abcg1* (**D**), and *ApoE* (**E**) mRNA levels were measured in stroke brain; $n = 5$ or 6. y-axis represents mRNA levels normalized by actin. * $p < 0.05$ versus contralateral side (effect of stroke) (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). ** $p < 0.01$ versus contralateral side (effect of stroke) (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). *** $p < 0.001$ versus contralateral side (effect of stroke) (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). # $p < 0.05$ versus Veh (effect of treatment) (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). **F**, Representative brain sections for infarct volume and percentage hemispheric swelling measurement at 3 d after ischemia (graph, mean \pm 95% confidence interval); $n = 18$ /group.

stroke causes atrophy, brain volume representing noninjured tissue, ischemic scar tissue, remaining total ipsilateral tissue, and resorbed tissue (estimated infarct) were analyzed. We found no differences in any of these region volumes (Fig. 4G), confirming that daidzein-induced cholesterol homeostasis genetic program uncouples with neuroprotection.

Daidzein improves motor/gait function following stroke

As cholesterol homeostasis is a critical event for injury-induced repair and neural remodeling (Nudo, 2007), we next determined the effect of daidzein on functional recovery. To obtain sensitive

and quantifiable functional data, behavior parameters that are biologically meaningful and relevant to the kinematics of stroke patients were chosen a priori for motor/gait function during acute and recovery phases following stroke. Stroke-induced changes in behavior were expressed as a percentage of the pre-ischemia baseline to account for interanimal variability. Stroke caused acute body weight reduction, which returned to baseline ~ 1 month in both groups. The acute body weight loss was less in daidzein-treated mice (Fig. 5A). Stroke caused acute and sustained deficits in locomotion in rotarod performance (Fig. 5B) and walking speed (Fig. 5C), which were improved by daidzein

Table 1. Gene expression in the brain 3 d after stroke^a

		Vehicle		Daidzein	
		Contralateral	Ipsilateral	Contralateral	Ipsilateral
Ppar γ	=	1.20E-03 \pm 9.9E-05	0.52E-03 \pm 6.0E-05 ^b	1.41E-03 \pm 12.4E-05	0.69E-03 \pm 16.4E-05 ^b
Lxr	↑	1.90E-03 \pm 9.7E-05	2.20E-03 \pm 7.1E-05 ^b	2.12E-03 \pm 6.3E-05	2.58E-03 \pm 7.7E-05 ^{b,c}
Scarb1	=	1.83E-03 \pm 1.1E-04	4.79E-03 \pm 2.7E-04 ^b	1.57E-03 \pm 2.3E-04	4.08E-03 \pm 6.2E-04 ^b
Ldlr	=	5.63E-03 \pm 7.5E-04	4.03E-03 \pm 4.9E-04	5.44E-03 \pm 8.0E-04	3.84E-03 \pm 5.3E-04
Abcg1	=	7.32E-03 \pm 6.1E-04	4.74E-03 \pm 4.6E-04 ^b	9.00E-03 \pm 7.2E-04	5.82E-03 \pm 6.7E-04 ^b
Abca1	=	7.28E-03 \pm 3.5E-04	9.77E-03 \pm 3.7E-04 ^b	7.07E-03 \pm 1.8E-04	10.07E-03 \pm 4.6E-04 ^b
ApoE	↑	7.61E-01 \pm 3.3E-02	5.17E-01 \pm 1.5E-02 ^b	8.97E-01 \pm 3.7E-02 ^d	6.27E-01 \pm 4.6E-02 ^{b,c}
Lrp1	=	8.99E-02 \pm 7.5E-03	6.61E-02 \pm 5.5E-03 ^b	9.52E-02 \pm 2.9E-03	7.06E-02 \pm 6.5E-03
Synaptophysin	=	2.38E-01 \pm 1.1E-02	0.92E-01 \pm 1.2E-02 ^b	2.70E-01 \pm 1.7E-02	1.44E-01 \pm 2.7E-02 ^b
Gap-43	=	7.61E-02 \pm 3.0E-03	3.77E-02 \pm 4.1E-03 ^b	7.85E-02 \pm 4.4E-03	4.45E-02 \pm 5.5E-03 ^b
Psd-95	=	1.50E-01 \pm 1.2E-02	0.51E-01 \pm 0.7E-02 ^b	1.47E-01 \pm 1.3E-02	0.73E-01 \pm 1.1E-02 ^b
Gfap	=	2.57E-01 \pm 1.7E-02	3.77E-01 \pm 1.9E-02 ^b	1.93E-01 \pm 5.0E-02	4.00E-01 \pm 4.5E-02 ^b

^aData are mean \pm SEM. = and \uparrow indicate no and significant gene changes in the ipsilateral hemisphere by daidzein treatment.

^b $p < 0.05$ versus contralateral (two-way ANOVA and *post hoc* Newman-Keuls test); $n = 5$ or 6.

^c $p < 0.05$ versus ipsilateral of vehicle (two-way ANOVA and *post hoc* Newman-Keuls test); $n = 5$ or 6.

^d $p < 0.05$ versus contralateral of vehicle (two-way ANOVA and *post hoc* Newman-Keuls test); $n = 5$ or 6.

(indicated by #). Daidzein did not show benefit on the regularity index, a measure of interlimb coordination that spontaneously recovered by 2 weeks (Fig. 5D).

Several gait parameters for individual limbs were assessed in LF, LH, RF, and RH limbs. Following stroke, all four limbs showed sustained impairment in stride length (distance between paw prints). Chronic daidzein administration significantly reduced the deficit in LF, LH, and RH limbs (Fig. 6A). While stroke also caused sustained reduction in swing speed in all limbs in both groups, daidzein attenuated the deficit in RH limb (Fig. 6B). Similar to the regularity index shown in Figure 5D, daidzein did not improve mean intensity, another spontaneously recovered parameter (Fig. 6C). Overall, the results showed that daidzein treatment improves motor/gait functions that showed sustained deficit, but not gait parameters that were fully recovered, highlighting the efficacy of daidzein in a clinically meaningful context. The functional benefits of daidzein treatment in the absence of neuroprotection indicate that mechanisms underlying neuroprotection unlikely overlap with recovery/repair processes. In age-matched sham animals, chronic daidzein treatment did not affect the longitudinal gait functions compared with those of vehicle-treated group (data not shown).

ApoE deficiency abolishes daidzein-enhanced motor/gait function in stroke

As ApoE is the most abundant cholesterol transporter that was elevated by daidzein *in vivo*, we addressed the importance of daidzein-induced ApoE upregulation in functional recovery in *ApoE*-knock-out (*ApoE* KO) mice. Stroke resulted in acute body weight losses with subacute normalization by 2 weeks in vehicle-treated *ApoE* KO mice. Chronic daidzein treatment did not attenuate acute body weight loss or improve rotarod performance (Fig. 7A,B). Daidzein also provided no functional benefits in gait functions (Fig. 7C–F). The only treatment effect (indicated by #) observed in *ApoE* KO mice was the mean intensity in right RH, where daidzein treatment resulted in a greater deficit (Fig. 7G). Estimation of infarct volume by subtracting the ipsilateral from contralateral volumes at 1 month after ischemia showed no difference between the groups (Veh vs Dz, 20.2 \pm 3.8 vs 25.8 \pm 4.0 mm³, not significant, $n = 13$ –14/group), confirming no detectable effect of daidzein on modulating infarct size. Treating *ApoE* KO mice with daidzein increased *Lxr* and *Abca1* gene expression at 1 month after stroke, showing that the absence of ApoE does

not interfere with other cholesterol homeostasis genetic programs (Fig. 7H–J). Therefore, the findings suggest that daidzein-induced ApoE upregulation is a critical component in fostering functional recovery in chronic stroke.

ApoE is necessary for daidzein-induced synaptophysin expression in chronic stroke

We then determined whether the daidzein-induced ApoE upregulation is necessary for induction of synaptic elements after stroke. Chronic daidzein treatment in C57 mice selectively increased *synaptophysin* mRNA without altering *Psd-95* at 1 month after stroke (Fig. 8A,B). Further analyses between the presynaptic and postsynaptic elements in C57 mice showed significant correlations between them in both hemispheres regardless of treatments (Fig. 8E,F). Notably, daidzein resulted in higher expression of *synaptophysin* at a given *Psd-95* level in the ipsilateral hemisphere with a significant slope difference between the groups ($p < 0.001$; Fig. 8F), showing selective elevation of the presynaptic gene. Accordingly, there was a selective increase in stroke-induced synaptophysin protein in the daidzein-treated group (Fig. 8I). Compared with C57 mice, *ApoE* KO mice showed an overall reduction of *synaptophysin* mRNA expression in both hemispheres (C57 vs *ApoE* KO, Contralateral, 0.19 \pm 0.02 vs 0.07 \pm 0.04; Ipsilateral, 0.18 \pm 0.09 vs 0.06 \pm 0.0, $n = 8$ –10, $p < 0.001$) and *Psd-95* expression (C57 vs *ApoE* KO, Contralateral, 0.10 \pm 0.008 vs 0.078 \pm 0.004; Ipsilateral 0.099 \pm 0.006 vs 0.064 \pm 0.008, $n = 8$ –10, $p < 0.05$) (Fig. 8C,D). Daidzein treatment further reduced *synaptophysin* and *Psd-95* gene expression in *ApoE* KO mice (Fig. 8C,D). *Synaptophysin* and *Psd-95* mRNA levels were significantly correlated in both hemispheres regardless of treatment (Fig. 8G,H). Unlike C57 mice shown in Figure 8F, I, the daidzein-induced selective elevation of *synaptophysin* gene and protein in the stroked hemisphere was absent in ApoE deficiency (Fig. 8H,J). Together, the results showed that ApoE is necessary for daidzein-induced synaptophysin expression in chronic stroke.

Discussion

Injury-induced repair and remodeling occur during critical periods following stroke. These processes provide a temporal window in which augmentation of molecular and synaptic changes can lead to behavioral adaptation/recovery. The current work identified daidzein as an enhancer of the genetic program governing

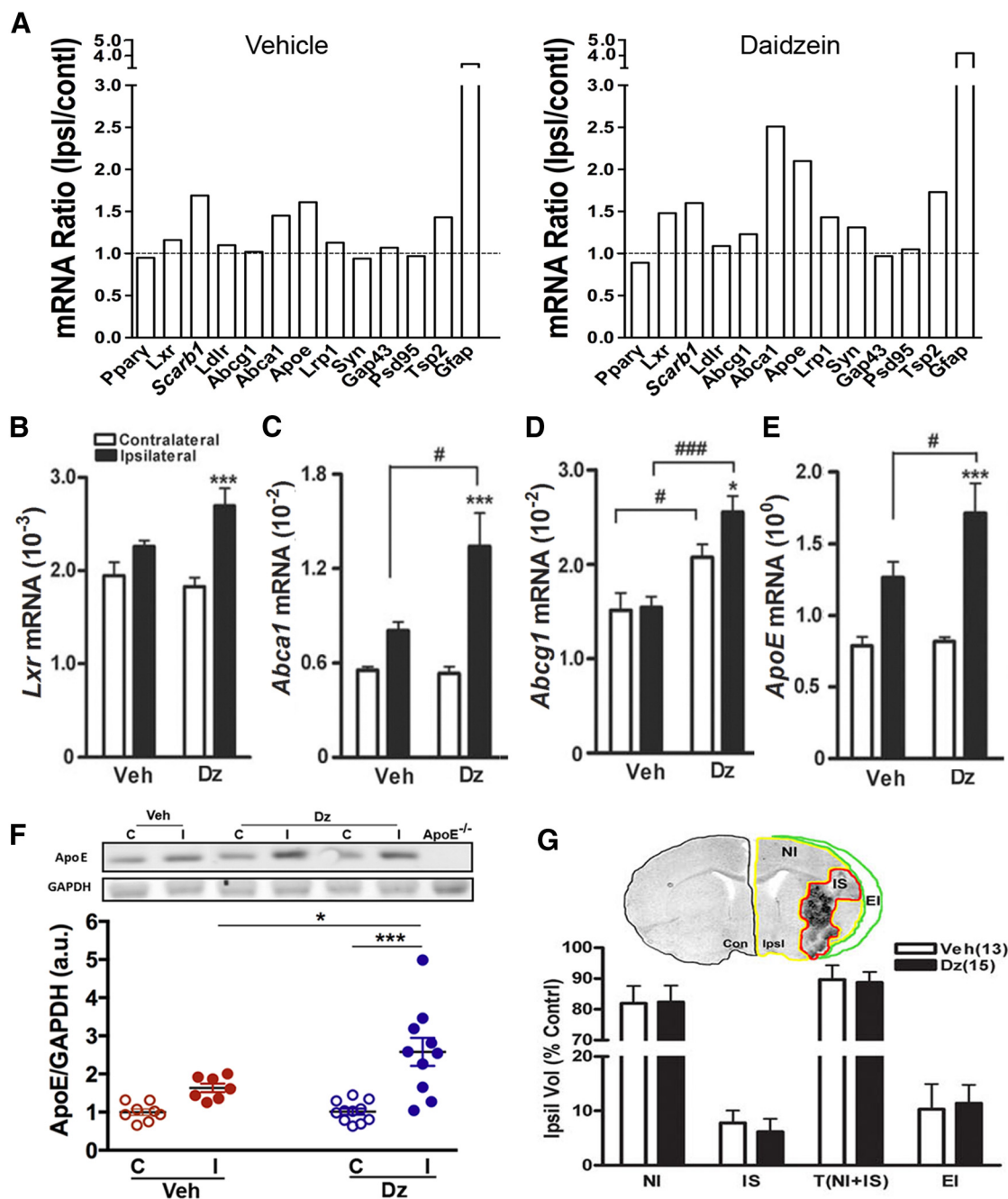


Figure 4. Daidzein increases stroke-induced cholesterol homeostasis genes at 1 month without neuroprotection. Following 30 min MCAO, mice were treated with daily subcutaneous administration of vehicle (Veh) or daidzein (Dz, 10 mg/kg) up to 7 d and then every other day up to 1 month. **A**, Effect of daidzein on stroke-induced cholesterol homeostasis and synaptic plasticity genes in the brain at 1 month after stroke in mice chronically treated with vehicle (left) and daidzein (right). Dotted lines indicate mRNA expression in contralateral hemisphere. y-axis represents ratios of ipsilateral over contralateral mRNA expression; $n = 5$ or 6 . **B–E**, *Lxr* (**B**), *Abca1* (**C**), *Abcg1* (**D**), and *ApoE* (**E**) mRNA levels were measured in the stroke brain at 1 month after MCAO; $n = 8–11$. **F**, ApoE protein levels in vehicle (Veh) or daidzein (Dz)-treated brain at 1 month after stroke. ApoE^{−/−} brain tissue from ApoE KO mice. * $p < 0.05$ versus contralateral side (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). ** $p < 0.01$ versus contralateral side (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). *** $p < 0.001$ versus contralateral side (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). # $p < 0.05$ versus Veh ipsilateral (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). ### $p < 0.001$ versus Veh ipsilateral (two-way ANOVA, followed by a Newman–Keuls *post hoc* test). **G**, Assessment of tissue volume at 1 month after ischemia. NI, Noninjured tissue (yellow); IS, ischemic scar tissue (red); T, total ipsilateral tissue (NI + IS); EI, estimated infarct tissue calculated by subtracting total ipsilateral volume from total contralateral volume (i.e., difference between the hemispheres, green).

cholesterol homeostasis and a potential stroke recovery agent. The daidzein-induced benefits include increased expression of genes that regulate the cholesterol homeostasis both in cultures and poststroke brain, and enhanced motor/gait function during the chronic recovery phase. Notably, the study revealed that daidzein-induced ApoE upregulation is essential for enhancing motor/gait functions and upregulation of synaptophysin, a pre-synaptic element.

Literature shows that isoflavones, including daidzein, inhibits HMG-CoA reductase, an enzyme for cholesterol biosynthesis (Sung et al., 2004; Jung and Kim, 2013). Because daidzein was known to activate PPAR γ , an upstream transcription factor of *Lxr*, the study focused on the effect of daidzein on expression of the genes involved in cholesterol homeostasis rather than its biosynthetic pathway. Not only *Lxr* and the downstream target transporters were elevated *in vitro*, daidzein also elevated the cho-

Table 2. Gene expression in the brain 1 month after stroke^a

		Vehicle		Daidzein	
		Contralateral	Ipsilateral	Contralateral	Ipsilateral
Ppar γ	=	1.50E-03 \pm 1.6E-04	1.43E-03 \pm 1.1E-04	1.61E-03 \pm 0.7E-04	1.43E-03 \pm 1.5E-04
Lxr	=	1.95E-03 \pm 1.5E-04	2.26E-03 \pm 0.7E-04	1.83E-03 \pm 1.0 \pm 04	2.70E-03 \pm 1.8E-04 ^b
Scarb1	=	1.26E-03 \pm 0.8E-04	2.13E-03 \pm 2.6E-04 ^b	1.35E-03 \pm 1.1E-04	2.16E-03 \pm 1.4E-04 ^b
Ldlr	=	4.65E-03 \pm 4.3E-04	5.11E-03 \pm 5.6E-04	4.78E-03 \pm 4.9E-04	5.21E-03 \pm 3.3E-04
Abcg1	\uparrow	1.51E-02 \pm 1.8E-03	1.54E-02 \pm 1.2E-03	2.07E-02 \pm 1.4E-03 ^d	2.55E-02 \pm 1.7E-03 ^{b,c}
Abca1	\uparrow	5.53E-03 \pm 2.4E-04	8.04E-03 \pm 5.7E-04	5.34E-03 \pm 4.4E-04	13.41E-03 \pm 21.1E-04 ^{b,c}
ApoE	\uparrow	7.87E-01 \pm 6.4E-02	11.65E-01 \pm 5.4E-02	8.17E-01 \pm 3.2E-02	17.12E-01 \pm 21.2E-02 ^{b,c}
Lrp1	=	4.22E-02 \pm 4.1E-03	4.76E-02 \pm 3.8E-03	4.02E-02 \pm 3.1E-03	5.76E-02 \pm 4.4E-03 ^b
Synaptophysin	\uparrow	1.96E-01 \pm 2.0E-02	1.84E-01 \pm 1.1E-02	1.82E-01 \pm 0.9E-02	2.38E-01 \pm 1.1E-02 ^{b,c}
Gap-43	\downarrow	1.67E-01 \pm 9.1E-03	1.79E-01 \pm 4.8E-03	1.40E-01 \pm 13.3E-03	1.36E-01 \pm 5.4E-03 ^c
PsD-95	=	1.02E-01 \pm 9.2E-03	0.99E-01 \pm 6.8E-03	0.98E-01 \pm 3.9E-03	1.03E-01 \pm 5.7E-03
Gfap	\uparrow	6.70E-02 \pm 4.0E-03	23.19E-02 \pm 23.7E-03 ^b	8.07E-02 \pm 7.4E-03	33.46E-02 \pm 45.4E-03 ^{b,c}
Fas	=	2.37E-02 \pm 2.3E-03	2.19E-02 \pm 1.7E-03	2.27E-02 \pm 1.1E-03	2.44E-02 \pm 1.1E-03
Lpl	=	1.06E-02 \pm 6.0E-04	1.31E-02 \pm 2.2E-03	1.01E-02 \pm 7.3E-04	1.62E-02 \pm 2.2E-03 ^b
Srebp1	\uparrow	1.02E-03 \pm 9.1E-05	1.10E-03 \pm 10.1E-05	1.00E-03 \pm 5.2E-05	1.45E-03 \pm 9.6E-05 ^{b,c}

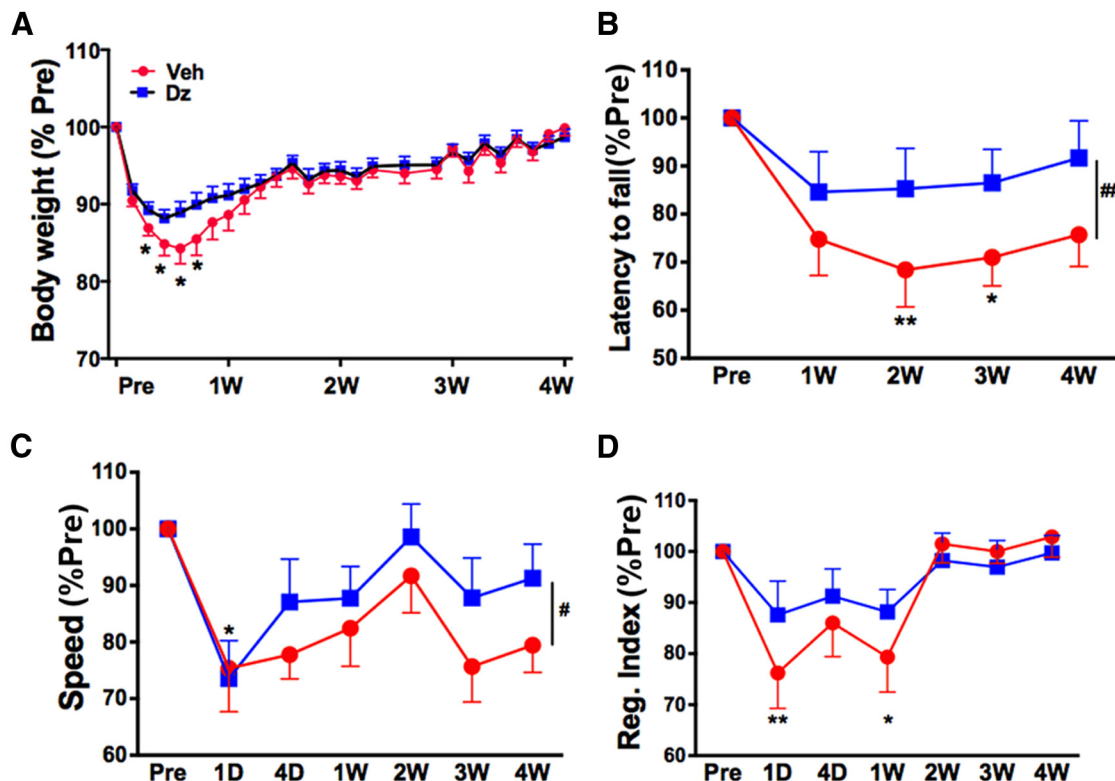
^aData are mean \pm SEM. =, \uparrow , and \downarrow indicate no and significant gene changes in the ipsilateral hemisphere by daidzein treatment.^b $p < 0.05$ versus contralateral (two-way ANOVA and *post hoc* Newman-Keuls test); $n = 8-11$.^c $p < 0.05$ versus ipsilateral of vehicle (two-way ANOVA and *post hoc* Newman-Keuls test); $n = 8-11$.^d $p < 0.05$ versus contralateral of vehicle (two-way ANOVA and *post hoc* Newman-Keuls test); $n = 8-11$.

Figure 5. Daidzein reduces stroke-induced body weight loss and improves behaviors. Longitudinal body weight measurement and behavior test were performed in vehicle (Veh) or daidzein (Dz)-treated C57 mice during acute and recovery phases. **A**, Percentage changes of body weight following ischemia. The stroke-induced body weight reduction was attenuated in daidzein-treated mice during a critical period (3–6 d after stroke); $n = 22$. * $p < 0.05$ versus Veh. **B**, Rotarod performance before (pre) and during the 4 week (w) postischemic period, latency to fall measured in seconds; $n = 9-11$ /group. **C**, **D**, Catwalk gait analyses: walk speed, the average speed expressed in distance units per second (**C**). Regularity index, a parameter to gauge the degree of interlimb coordination (**D**). All behavior results are presented as percentage of preischemic baseline (% Pre, mean \pm SEM); $n = 22$ or 23/group. * $p < 0.05$ (two-way ANOVA with *post hoc* Bonferroni tests). ** $p < 0.01$ versus Pre (effect of stroke) (two-way ANOVA with *post hoc* Bonferroni tests). # $p < 0.05$, Veh versus Dz (effect of treatment) (two-way ANOVA with *post hoc* Bonferroni tests). ## $p < 0.01$, Veh versus Dz (effect of treatment) (two-way ANOVA with *post hoc* Bonferroni tests).

lesterol homeostasis genetic program in postischemic brain while several other genes were relatively unaffected (Tables 1, 2). As LXR is an important regulator of cholesterol and lipid metabolism, the augmentation of cholesterol transporter expression via LXR agonists was used to treat animal models of atherosclerosis and stroke (Terasaka et al., 2003; Chen et al., 2010). However, the

LXR-directed approach stimulates transcription of *Srebp1* and its target genes *Fas* and *Lpl*. FAS and LPL are linked to the synthesis of fatty acids and triglycerides, resulting in hypertriglyceridemia and hepatic steatosis (Grefhorst et al., 2002; Chisholm et al., 2003). Thus, an optimal therapeutic strategy would be to selectively activate cholesterol efflux pathways without stimulating

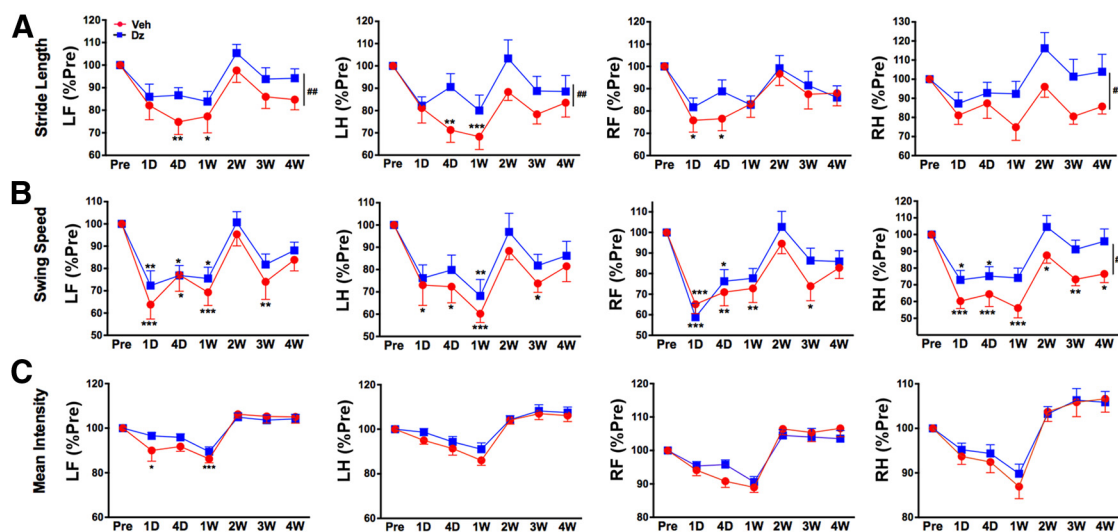


Figure 6. Daidzein improves gait functions. Longitudinal behavior tests were performed in vehicle (Veh) or daidzein (Dz)-treated C57 mice during acute and recovery phases. **A–C**, Gait parameters for individual limb in LF, LH, RF, and RH limbs. Stride length (**A**), swing speed (**B**), and mean intensity (**C**). All behavior results are presented as percentage of preischemic baseline (%Pre, mean \pm SEM); $n = 22$ or 23/group. * $p < 0.05$ (two-way ANOVA with *post hoc* Bonferroni tests). ** $p < 0.01$ (two-way ANOVA with *post hoc* Bonferroni tests). *** $p < 0.001$ versus Pre (effect of stroke) (two-way ANOVA with *post hoc* Bonferroni tests). ## $p < 0.01$, Veh versus Dz (effect of treatment) (two-way ANOVA with *post hoc* Bonferroni tests). ### $p < 0.001$, Veh versus Dz (effect of treatment) (two-way ANOVA with *post hoc* Bonferroni tests).

genes linked to lipogenesis (Kratzer et al., 2009). Compared with T0901317, which upregulated *Srebp1*, *Fas*, and *Lpl* transcription in primary astrocytes, daidzein increased *Srebp1* transcription without affecting the induction of *Lpl* and *Fas* genes *in vitro* (Fig. 1) and in the postischemic brain (Table 2). These findings suggest a potential advantage of daidzein over T0901317 for chronic use in stroke recovery.

The daidzein-induced functional benefits occurred in the absence of neuroprotection. Our previous *in vitro* data and literature suggested that daidzein, which has years of use in humans, could possibly be neuroprotective and neurorestorative (Ma et al., 2010; Hurtado et al., 2012). However, our current detailed *in vivo* studies showed that while daidzein enhanced functional recovery, it neither increased nor decreased infarct size, consistent with a study in rats (Stout et al., 2013). The dissociation of functional recovery from neuroprotection indicates the presence of repair process mechanisms that may be distinct from mechanisms that underlie acute pathology/protection. The stage-specific mechanisms reflect the anatomical distinction of the tissues involved in different stages of chronic stroke. While acute pathology involves the ipsilateral hemisphere, functional recovery is thought to require plasticity from noninjured tissues around the infarct and the contralateral hemisphere (Zeiler et al., 2013; Qin et al., 2014). It is noteworthy that the same drug and/or target may respond differently depending on the context of post-stroke stages. For example, whereas an agent that inhibits stroke-induced excessive tonic inhibition (net effect of excitation) enhances stroke recovery, the treatment, if given too early (i.e., <3 d after stroke), exacerbates acute stroke injury (Clarkson et al., 2010). Thus, agents such as daidzein would allow treatment at an early poststroke stage and provide a wider treatment window for subsequent functional enhancement.

In the current study, daidzein treatment was initiated at the early reperfusion period and continuously administered for 1 month. Several pharmacokinetic studies of chronic daidzein treatment in both humans and rodents have shown increased concentration of isoflavones in body fluids and tissues. With a half-life of ~ 6 h in humans and 12 h in rodents (King and

Bursill, 1998; Coldham and Sauer, 2000), chronic daidzein administration was shown to be safe in clinical trials at a dose of 0.5–1 mg/kg/d for 6 months (NCT00951912) or 12 months (NCT01463436). Although the dose used in this study in mice was higher (5–10 mg/kg/d) and the treatment duration was shorter (1 month) than that used in the above clinical studies, it was within the range of other rodent studies (0.1–50 mg/kg/d) (Rivera et al., 2013; Soumyakrishnan et al., 2014). Importantly, we found that chronic daidzein administration did not increase injury size and hemispheric swelling (Figs. 3F, 4G). The absence of the adverse stroke outcomes in mice together with no evident toxicity in cultures (Fig. 1P) supports safety of daidzein for early and long-term use in chronic stroke.

Analysis of the motor/gait function in C57 mice revealed two sets of behaviors that spontaneously recovered and ones with sustained impairments (Figs. 5, 6). Daidzein improved the behaviors that showed sustained deficits (e.g., rotarod, speed, stride length, and swing speed). On the other hand, the literature indicates that unilateral stroke induces deficits in the limbs of both sides, which has been described in rodent and human (Schaefer et al., 2009; Darling et al., 2011; Pandian and Arya, 2013; Qin et al., 2014). The observations suggest that the ipsilesional side was not “nonaffected” but rather “less affected.” The underlying mechanism that accounts for the acute bilateral deficits may include plastic changes in the intact hemisphere and disturbed inter-hemispheric connectivity, probably due to the ongoing injury in the affected hemisphere that might be involved in the functional impairments in the unaffected limbs (Gonzalez et al., 2004; van Meer et al., 2010). Individual gait assessment in this study revealed that the stroke-induced bilaterally deficits and daidzein treatment resulted in bilateral enhancement (Fig. 6). Because animals were subjected to unilateral right MCAO, improved function in the less affected limb (e.g., RH) suggests a potential role of the contralesional hemisphere in functional recovery (Qin et al., 2014). Relevantly, we observed that daidzein increased *ApoE* mRNA at 3 d in the contralateral hemisphere (Fig. 3E; Table 1), suggesting an intriguing possibility that this early rise in *ApoE*

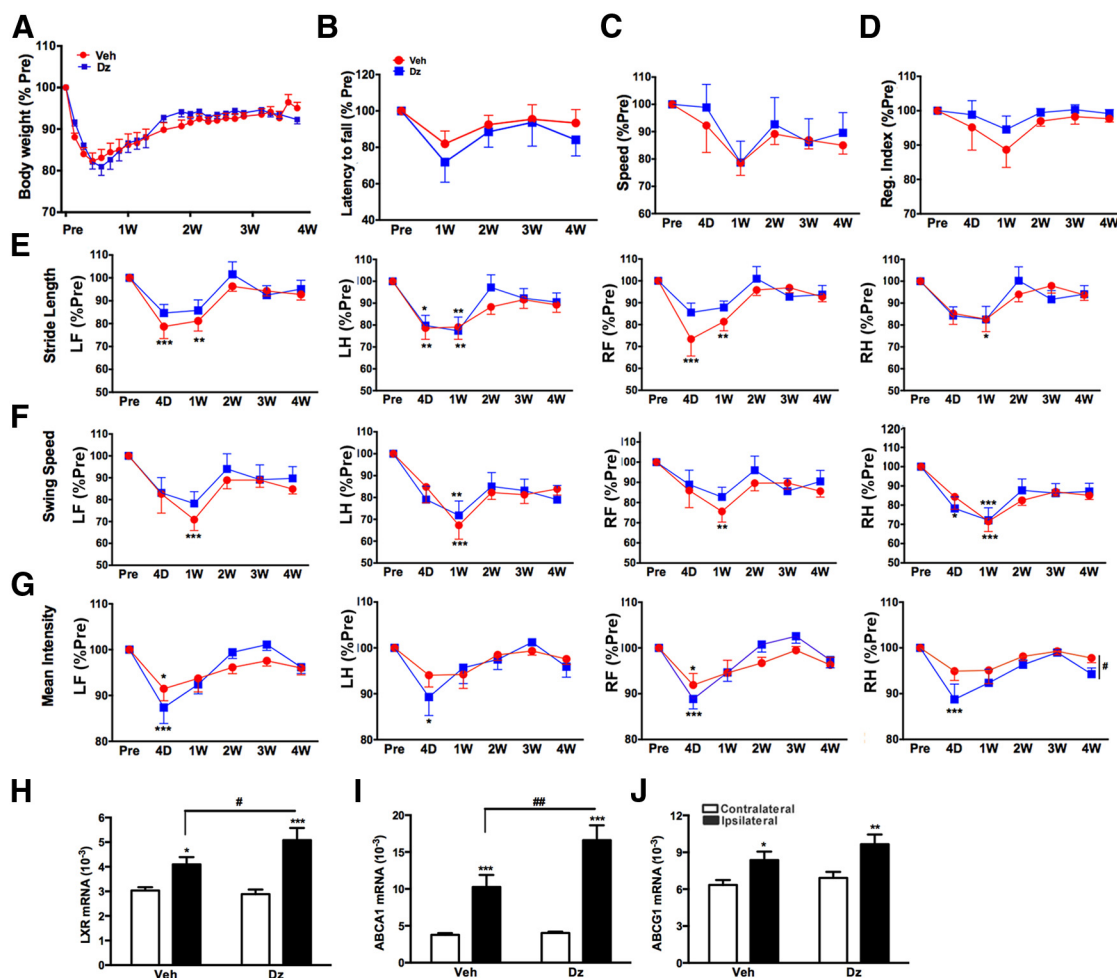


Figure 7. Daidzein-induced functional benefits are absent in ApoE deficiency. Longitudinal behavior test results in vehicle (veh) and daidzein (Dz)-treated *ApoE* KO mice during acute and chronic recovery phases. **A**, Percentage changes of body weight following ischemia. Note the similar weight reduction in both groups during a critical period (3–6 d poststroke); $n = 10–12$ /group. **B**, Rotarod performance before (pre) and during the postischemic period up to 4 weeks (w), latency to fall measured in seconds; $n = 11$ /group. **C**, Catwalk gait analyses: Walk speed, the average speed expressed in distance units per second (**C**). Regularity index, a parameter to gauge the degree of interlimb coordination (**D**). **E–G**, Catwalk gait parameters for individual limb in LF, LH, RF, and RH. Stride length (**E**), swing speed (**F**), and mean intensity (**G**). All behavior results are presented as percentage of preischemic baseline (% Pre, mean \pm SEM); $n = 10–13$ /group for gait analysis. * $p < 0.05$ versus Pre (effect of stroke) (two-way ANOVA with *post hoc* Bonferroni tests). ** $p < 0.01$ versus Pre (effect of stroke) (two-way ANOVA with *post hoc* Bonferroni tests). *** $p < 0.001$ versus Pre (effect of stroke) (two-way ANOVA with *post hoc* Bonferroni tests). # $p < 0.05$, Veh versus Dz (effect of treatment) (two-way ANOVA with *post hoc* Bonferroni tests). **H–J**, mRNA levels in the 1 month poststroke brain of *ApoE* KO mice, *Lxr* (**H**), *Abca1* (**I**), and *Abcg1* (**J**); $n = 10–13$ /group. * $p < 0.05$ versus contralateral side (two-way ANOVA and *post hoc* Newman–Keuls test). ** $p < 0.01$ versus contralateral side (two-way ANOVA and *post hoc* Newman–Keuls test). *** $p < 0.001$ versus contralateral side (two-way ANOVA and *post hoc* Newman–Keuls test). # $p < 0.05$ versus Veh ipsilateral (two-way ANOVA and *post hoc* Newman–Keuls test). ** $p < 0.01$ versus Veh ipsilateral (two-way ANOVA and *post hoc* Newman–Keuls test).

mRNA in the contralateral hemisphere may cause subsequent benefits in stroke recovery.

There is no reported deficit in neurocognitive and retinal function in a human subject lacking functional *APOE* gene expression (Mak et al., 2014). In agreement with this clinical finding, ApoE deficiency in mice did not affect baseline behaviors in this study (preischemic rotarod C57 vs *ApoE* KO, 253 ± 49.4 s, 222.8 ± 48.1 s, $n = 19$, not significant). In addition, we have previously shown that the infarct size and hemispheric swelling were similar in WT versus *ApoE* KO mice (E. Kim et al., 2008). This study, however, revealed a critical role of ApoE in injury paradigm. Following stroke, *ApoE* KO mice treated with daidzein did not display functional gains, even though daidzein significantly increased *Lxr* and *Abca1* mRNA levels (Fig. 7). Thus, in the absence of the *ApoE* gene, *Abca1* and *Lxr* were inadequate to produce functional benefits, indicating a necessary role for ApoE in fostering daidzein-promoted functional recovery.

Because of its reduced capacity for lipidation and efflux of cholesterol and phospholipids, *APOE* ϵ 4 has been linked to a high risk of developing Alzheimer's disease (AD) (Michikawa et al., 2000; Hanson et al., 2013). Because ApoE expression level is a risk factor for AD regardless of *APOE* ϵ 4 allele status (Maloney et al., 2010), enhancing ApoE and other cholesterol transporter expression by activating LXRs and RXRs has been suggested as a way to improve AD pathology (Mandrekar-Colucci and Landreth, 2011; Cramer et al., 2012; Boehm-Cagan and Michaelson, 2014). The current study provides the mechanistic evidence of ApoE for daidzein-induced functional benefits and synaptophysin upregulation. Of particular interest, in light of our functional daidzein results is that *APOE* ϵ 4 presence predicted age-related gait speed decline in men (Verghese et al., 2013). Likewise, the presence of the *APOE* ϵ 4 allele is associated with reduced short- and long-term recovery from stroke (Cramer and Proccacio, 2012). Our working model is daidzein-induced upregulation of *Lxr* and

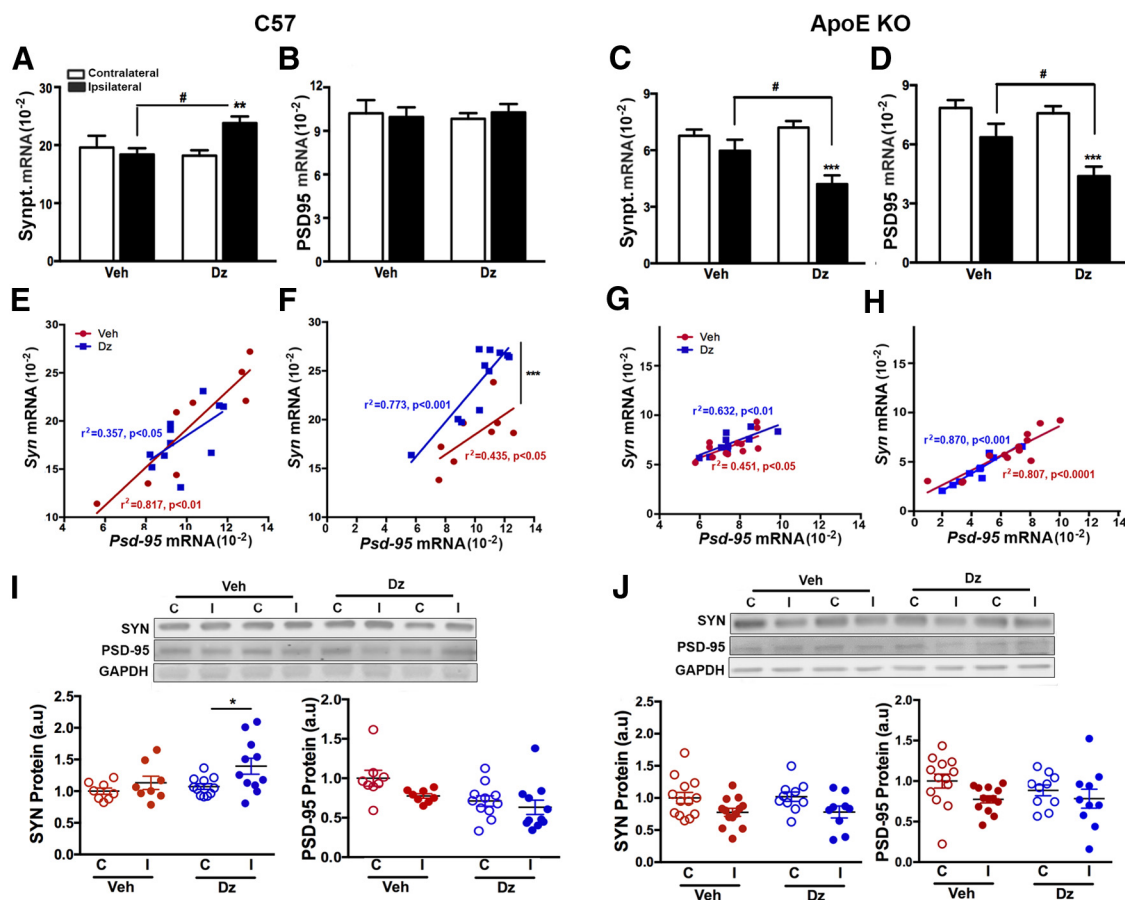


Figure 8. ApoE is necessary for daidzein-induced synaptophysin expression in chronic stroke. Presynaptic and postsynaptic marker expression at 1 month after stroke in C57 (left, **A, B, E, F, I**) and ApoE KO (right, **C, D, G, H, J**) mice. **A–D**, Synaptophysin and Pcd-95 mRNA levels in C57 (**A, B**) and ApoE KO (**C, D**) mice. y-axis represents mRNA levels normalized by actin. $^{**}p < 0.01$ versus contralateral side (two-way ANOVA and *post hoc* Newman–Keuls test). $^{***}p < 0.001$ versus contralateral side (two-way ANOVA and *post hoc* Newman–Keuls test). $^{\#}p < 0.05$ versus Veh ipsilateral side (two-way ANOVA and *post hoc* Newman–Keuls test). **E–H**, Correlation between Synaptophysin (Syn) and Pcd-95 gene expression in the C57 contralateral (**E**) and ipsilateral (**F**) hemisphere and ApoE KO contralateral (**G**) and ipsilateral (**H**) hemisphere. $^{***}p < 0.001$, slope difference between Veh- and Dz-treated groups. **I, J**, Synaptophysin (SYN) and PSD-95 protein expression in C57 (**I**) and ApoE KO (**J**) mice. $n = 8–12$ /group for both genotypes. $^{*}p < 0.05$ versus contralateral side (two-way ANOVA and *post hoc* Newman–Keuls test). C, Contralateral; I, ipsilateral.

transporter genes provide a larger substrate base for conventional cholesterol effusion.

The required ApoE for daidzein-induced synaptophysin upregulation suggests mechanisms for cholesterol uptake into neurons. Low-density lipoprotein-related receptor protein-1 (LRP1) is an ApoE receptor that involves receptor-mediated endocytosis for cholesterol transport. Notably, we found that *Lrp1* mRNA level in the brain was high in the ipsilateral hemisphere at 1 month after stroke (~ 10 -fold higher than low-density lipoprotein receptor gene *Ldlr*; Table 2). Correlation analyses showed that stroke-induced *Lrp1* expression is significantly correlated with ApoE ($r^2 = 0.399, p < 0.05, n = 8$) and synaptophysin mRNA ($r^2 = 0.432, p < 0.05, n = 8$) in a daidzein-treated group, but not in a vehicle-treated group. Although there was no significant correlation between ApoE and synaptophysin mRNA levels, the correlation slope in daidzein-treated group was significantly elevated ($p < 0.01, n = 8$). Therefore, it is unlikely that ApoE directly regulates synaptophysin transcription in the presence of daidzein, but rather through LRP1 for ApoE uptake for synaptophysin expression. Thus, daidzein is likely promoting the directional movement of cholesterol through LRP1 in the injured brain for neurite outgrowth for plastic changes.

Through the gain and loss of ApoE coupled with molecular and functional studies, the current study demonstrated that daidzein-induced ApoE is critical for functional recovery in chronic stroke. The caveat of the study is a need to provide relevance of the preclinical findings in mice to human patients. Nevertheless, the similarities in the anatomical organization of lumbar pattern generators and locomotor control mechanisms between humans and rodents (Gerasimenko et al., 2008) suggest shared mechanisms in locomotor/kinematic recovery following stroke. As walking speed is one of the best determinants of community ambulation and of high priority to patients in the immediate aftermath of a paralytic stroke, our findings of better locomotion, faster gait speed, larger stride length and swing speed at the system levels, together with elevated ApoE and synaptophysin expression at the cellular level, provide a biological rationale for strategies aimed at augmenting ApoE for stroke recovery. With its known safety in humans, early and chronic use of clinically approved daidzein to aim at ApoE upregulation without having adverse effect on infarct size may serve as a novel, translatable strategy to promote recovery in stroke patients. Moreover, the findings should serve to catalyze additional studies on the association

of prevalent ApoE isoforms with outcomes following stroke in humans.

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